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John S. Nagy, Reg. No. 30,6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/656,722

Applicants

: Lilip Lau, Steven Meyer, Matthew G. Fishler, Craig Mar, Anuja J.

Patel, Sieu Duong

Filed

: September 5, 2003

Art Unit

: 3736

Examiner

: Unassigned

Title

CARDIAC HARNESS

Docket No.:

PARCR 66117

Customer No.

24201

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the listed foreign documents and articles is enclosed.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the

Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

Bv

John S. Nagy

Registration No. 30,664

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PTO/SB/21 (08-03)
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JOHN S. NAGY, ESQ

- Application Number	10/656,722
Filing Date	September 5, 2003
First Named Inventor	Lilip Lau
Art Unit	3736
Examiner Name	Unassigned
Attorney Docket Number	PARCR 66117

	ENCLOSURES (check all that app	oly)
Fee Transmittal Form	Drawing(s)	After Allowance communication to Group
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Reply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply
After Final	Petition to Convert a Provisional Application	Proprietary Information
Affidavits/declaration(Power of Attorney, Revocation Change of Correspondence Address	Status Letter
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):
Express Abandonment Reque	t Request for Refund	Postcard 119 References
Information Disclosure Statem	cnt CD, Number of CD(s)	
Certified Copy of Priority Document(s)	Remarks	
Response to Missing Parts/ Incomplete Application	24201	
Response to Missing under 37 CFR 1.52 or	1	
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or Individual name		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known Substitute for form 1449A/PTO Application Number 10/656,722 Filing Date September 5, 2003 INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Unassigned Art Unit **Examiner Name** Unassigned (use as many sheets as necessary) PARCR 66117 of | 14 Attorney Docket Number

			U.S	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Number Docum	ent Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2,826,193		03/11/58	Vineberg	
		3,464,322		09/02/69	Pequignot	
		3,513,836		05/26/70	Sausse	
		3,587,567		06/28/71	Schiff	
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		4,048,990		09/20./77	Goetz	
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Initials* No	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	l I
		FR	2 527 435		12/2/83	Serreaus		
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		JP	60-203250		10/14/85	Unknown		
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		JP	1-145066		06/07/89	Unknown		
		JP	1-271829		10/30/89	Kogyo		
		EPO	0 370 931 A1	,	05/30/90	Perrotin		

Examiner	 Date	
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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE First Named Inventor Lilip Lau STATEMENT BY APPLICANT Unassigned Art Unit Unassigned Examiner Name (use as many sheets as necessary) Sheet PARCR 66117 2 of | 14 Attorney Docket Number U.S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where Document Number Examiner Cite Publication Date Kind Code² Applicant of Cited Relevant Passages or Relevant Number Initials* No MM-DD-YYYY Document Figures Appear (if known) Hess et al. 4,628,937 12/16/86 4,630,597 12/23/86 Kantrowitz et al. 05/19/87 4,665,906 Jervis 4,690,134 09/01/87 Snyders 4,697,703 10/06/87 Will 4,750,619 06/14/88 Cohen et al. 4,821,723 04/18/89 Baker, Jr. et al. 4,827,932 05/09/89 Ideker et al. 4,834,707 05/30/89 Evans 4,838,288 06/13/89 Wright et al. 4,840,626 06/20/89 Linsky et al. 4,863,016 09/05/89 Fong et al. 4,878,890 11/07/89 Bilweis 4,936,857 06/26/90 Kulik 4,957,477 09/18/90 Lundbäck 4,960,424 10/02/90 Grooters 4,973,300 11/27/90 Wright 4,976,730 12/11/90 Kwan-Gett 07/16/91 5,031,762 Heacox 5,057,117 10/15/91 Atweh 5,067,957 11/26/91 Jervis 5,087,243 02/11/92 Avitall FOREIGN PATENT DOCUMENTS Pages, Columns, Lines Foreign Patent Document Publication Date Name of Patentee or Applicant Where Relevant Examine Cite T^6 of Cited Document Initials* Kind⁵ MM-DD-YYYY Passages or Relevant Office³ Number⁴ (if known) Figures Appear FR 2 645 739 10/19/90 WO 91/19465 Mentzer et al. PCT 12/26/91 Frater 1734767 A1 05/23/92 SU Popov et al. 0 280 564 B1 🗸 EP 06/16/93 Intermedics, Inc. 38 31 540 C2 🛩 DE 06/24/93 Evans PCT WO 95/06447 / 03/09/95 W.L. Gore & Associates PCT WO 96/04852 🗸 02/22/96 Northrup DE 295 17 393 U1 🗸 03/14/96 Hohmann et al. EP 0 583 012 B1 07/10/96 Heilman et al. Date Examiner Signature Considered

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Art Unit Unassigned Unassigned **Examiner Name** (use as many sheets as necessary) PARCR 66117 Sheet of | 14 Attorney Docket Number

	1			. PATENT DOCUMEN		T
Examiner Initials*	Cite No ¹	Number Document	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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		5,106,386		04/21/92	Isner et al.	
		5,119,804		06/09/92	Anstadt	
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Examiner	Cite	Cite Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines	T6			
Initials* No			Office ³	Number ⁴	Kind ⁵ , (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1	
		PCT	WO 96/40356 ✔		12/19/96	EP Technologies, Inc.				
		PCT	WO 97/20505 🖊		06/12/97	Loma Linda University	I			
		PCT	WO 97/24101 /		07/10/97	Melvin				
***		EP	0 791 330 A3 🗸		08/27/97	Cardiothoracic Systems				
		PCT	WO 98/03213 🖊		01/29/98	Heartport, Inc.				
		PCT	WO 98/14136		04/09/98	Acorn Medical, Inc.				
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		PCT	WO 98/29041		07/09/98	Myocor, Inc.				
		PCT	WO 99/11201 🗸		03/11/99	Endocore, Inc.				

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Signature	Considered	

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	Co	omplete if Known
Substitute for form 1449A/PTO	Complete if Known Application Number 10/656,722 Filing Date September 5, 2003 First Named Inventor Lilip Lau Art Unit Unassigned Examiner Name Unassigned Attorney Docket Number PARCR 66117	10/656,722
INFORMATION DISCLOSURE	Filing Date	September 5, 2003
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
STATEMENT BY APPLICANT	Art Unit	Unassigned
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Sheet 4 of 14	Attorney Docket Number	PARCR 66117

			U.S	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Docume Number	nt Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶	
		EP	0 919 193 A1 🖊		06/02/99	Ethico	on Endo-Surgery		}
		PCT	WO 99/30647		06/24/99	Myoc	or, Inc.		
		PCT	WO 99/44534 V		09/10/99	Melvi	n		
		PCT	WO 99/44680 🖊		09/10/99	Abion	ned, Inc.		ļ —
		PCT	WO 99/53977 /		10/28/00	SciMe	d Life Systems		
		PCT	WO 99/56655		11/11/99	Corres	store, Inc.		
		PCT	WO 00/02500 /		01/20/00	Acom	Cardiovascular		
		PCT	WO 00/06026 🖌		02/10/00	Муос	or, Inc.		
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Substitu	tte for form 1449A/PTO	Application Number	10/656,722
T	NFORMATION DISCLOSURE	Filing Date	September 5, 2003
	STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
	DIAILMENT BY APPLICANT	Art Unit	Unassigned
	(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet	5 of 14	Attorney Docket Number	PARCR 66117

			U.S	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Docume Number	nt Number Kind Code ² (if known)	- Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,713,954	<u>, </u>	02/03/98	Rosenberg et al.	
		5,727,569		03/17/98	Benetti et al.	
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		,		FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite	Cite Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines		
	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Te
		PCT	WO 00/06028		02/10/00	Mortier, et al.		
		PCT	WO 00/13722 V		03/16/00	SciMed Life Systems		
		PCT	WO 00/16700 /		03/30/00	Myocor, Inc.		
		PCT	WO 00/18320 🖊		04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912 🗸		05/25/00	Acorn Cardiovascular		
		PCT	WO 00/28918		05/25/00	Acorn Cardiovascular		
		PCT	WO 00/42919	_	07/25/00	Buckberg et al.		
		PCT	WO 00/45735 🗸		08/10/00	Acorn Cardiovascular		
		PCT	WO 00/48795 🗸		08/24/00	Richebourg		

Examiner	Date	
Signature	Considered	

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Art Unit Unassigned Unassigned Examiner Name (use as many sheets as necessary) PARCR 66117 of 14 Sheet 6 Attorney Docket Number

			U.S.	PATENT DOCUMEN	ITS	
Examiner Initials*	Cite No ¹	Number Documen	t Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	İ	6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,024,096		02/15/00	Buckberg	
		6,045,497		04/04/00	Schweich, Jr. et al.	
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Examiner	Cite	Cite Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines	
Initials*	No	Office ³	Number⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
		PCT	WO 00/62727		10/26/00	Hearten Medical		
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437 🖊		03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098 🖍		03/29/01	Acorn Cardiovascular		
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		PCT	WO 01/67985. V		09/20/01	Paracor Surgical		
		PCT	WO 01/85061 ·		11/15/01	Acorn Cardiovascular		
		PCT	WO 01/91667 🗸		12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830 🖊		12/20/01	Acorn Cardiovascular		Ī

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Art Unit Unassigned Unassigned Examiner Name (use as many sheets as necessary) PARCR 66117 of 14 Sheet Attorney Docket Number

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Examiner Initials*	Cite No ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		PCT	WO 01/95831-/		12/20/01	Acom Cardiovascular		
		PCT	WO 01/95832		12/20/01	Acom Cardiovascular		_
		PCT	WO 02/13726 V		02/21/02	Acorn Cardiovascular		
		PCT	WO 02/19917 🗸		03/14/02	Converge Medical		
		PCT	WO 02/059007		08/01/02	SnapWare Corp.		
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		
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		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		

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Substitu	ite for form 1449A/PTO		Application Number	10/656,722
Ī	NEODMATION	DISCLOSURE	Filing Date	September 5, 2003
1			First Named Inventor	Lilip Lau
3	STATEMENT B	Y APPLICANT	Art Unit	Unasigned
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Examiner	Cite		Foreign Patent Docum	nent	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines Where Relevant Passages	т6
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INTO DATA TION DIOCE OCCUPA	Application Number	10/656,722
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STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
(use as many sheets as necessary)	Group Art Unit	Unassigned
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 10 of 14	Attorney Docket Number	PARCR 66117

		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	
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I	NFORMATION DIS	CI OSLIDE	Filing Date	September 5, 2003	
			First Named Inventor	Lilip Lau	
) 5	STATEMENT BY APPLICANT		Group Art Unit	Unassigned	
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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	Lilip Lau	
3				Group Art Unit	Unassigned	
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		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
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		Application for U.S. Letters Patent Serial No. 10/704,376 filed November 7, 2003	
		Application for U.S. Letters Patent Serial No. 10/705,989 filed November 12, 2003	
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	Complete if Known		
Substitute for form 1449B/PTO	Application Number	10/656,722	
INFORMATION DISCLOSURE	Filing Date	Sepetmeber 5, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT DI AFFLICANI	Group Art Unit	Unassigned	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 14 of 14	Attorney Docket Number	PARCR 66117	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Application for U.S. Letters Patent Serial No. 10/777,451 filed February 12, 2004	
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